#### PATENT COOPERATION TREATY

#### From the INTERNATIONAL BUREAU

## PCT

NOTIFICATION OF TRANSMITTAL
OF COPIES OF TRANSLATION
OF THE INTERNATIONAL PRELIMINARY REPORT
ON PATENTABILITY
(CHAPTER LOR CHAPTER II
OF THE PATENT COOPERATION TREATY)

(PCT Rules 44bis.3(c) and 72.2)

To:

SANO, Hiroshi 9F, Hatchobori MF Building 2-9, Irifune 1-chome Chuo-ku, Tokyo 1040042 JAPON



Date of mailing (day/month/year) 28 September 2006 (28.09.2006)		
Applicant's or agent's file reference K04-109-PCT	IMPORTANT NOTIFICATION	
International application No. PCT/JP2005/002315	International filing date (day/month/year) 16 February 2005 (16.02.2005)	
Applicant Shin-Etsu Polymer Co., Ltd. et al		

١.	Transmittal of the	translation t	o the applicant.
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	The International Bureau transmits herewith a copy of the English translation of the international prelim patentability (Chapter 1).	inary report

The International Bureau transmits herewith a copy of the English translation of the international preliminary report on patentability (Chapter II).

### 2. Transmittal of the copy of the translation to the designated or elected Offices.

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following designated or elected Offices requiring such translation:

#### None

The following designated or elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

AE, AG, AL, AM, AP, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EA, EC, EE, EG, EP, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OA, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW

3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary report on patentability (Chapter II).

It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned within the applicable time limit (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

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ATTACHMENT H

## PATENT COOPERATION TREATY

## **PCT**

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference K04-109-PCT	FOR FURTHER ACTION	See item 4 helow
International application No. PCT/JP2005/002315	International filing date (day/month/year) 16 February 2005 (16.02.2005)	Priority date (day/month/year) 18 February 2004 (18.02.2004)
International Patent Classification (8th See relevant information in Form F	n edition unless older edition indicated) PCT/ISA/237	
Applicant Shin-Etsu Polymer Co., Ltd.		

1.	This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 bis.1(a).				
2.	This REPORT consists of a total	of 6 sheets, including this cover sheet.			
	In the attached sheets, any reference to the written opinion of the International Searching Authority should be read as a reference to the international preliminary report on patentability (Chapter I) instead.				
3.	This report contains indications i	relating to the following items:			
	Box No. I	Basis of the report			
	Box No. II	Priority			
	Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability			
	Box No. IV	Lack of unity of invention			
	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement			
	Box No. VI	Certain documents cited			
	Box No. VII	Certain defects in the international application			
	Box No. VIII	Certain observations on the international application			
4.	The International Bureau will conot, except where the applicant n date (Rule 44bis .2).	mmunicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but nakes an express request under Article 23(2), before the expiration of 30 months from the priority			

Date of issuance of this report 19 September 2006 (19.09.2006)

Yoshiko Kuwahara

Authorized officer

e-mail: pt07@wipo.int

Form PCT/IB/373 (January 2004)

The International Bureau of WIPO 34, chemin des Colombettes

1211 Geneva 20, Switzerland

## PATENT COOPERATION TREATY

From th		NAL SEARCHI	NG AUTHOR	ITY		MANS
То:						PCT PCT
					WI INTERNAT	RITTEN OPINION OF THE TONAL SEARCHING AUTHORITY
						(PCT Rule 43bis.1)
	***				Date of mailing (day/month/year)	
Applies	ant's or a	ngent's file referen	nce		FOR FURTHER	ACTION
K04	1-10	9-PCT				See paragraph 2 below
Internat	tional ap	oplication No.		International filing date	day/month/year)	Priority date (day/month/year)
		2005/002		16.02.2005		18.02.2004
Internat	tional Pa	atent Classificatio	n (IPC) or both	national classification an	d IPC	
Applies	ant					
Shi	n-E	tsu Poly	mer Co.	, Ltd.		
!		_				
1.		opinion contains i	ndications relat	ing to the following items	:	
	$\boxtimes$	Box No. I	Basis of the	opinion		
		Box No. II	Priority			
		Box No. III	Non-establis	hment of opinion with reg	gard to novelty, inventi	ive step and industrial applicability
		Box No. IV	Lack of unit	y of invention		
		Box No. V	Reasoned sta applicability	atement under Rule 43bis.; citations and explanation	I(a)(i) with regard to rus supporting such state	novelty, inventive step or industrial ement
		Box No. VI	Certain docu	ments cited		
		Box No. VII	Certain defe	cts in the international app	lication	
	$\boxtimes$	Box No. VIII	Certain obse	rvations on the internation	al application	
2.	FUR1	THER ACTION				
	than t	ational Prelimina his one to be the	ry Examining A IPEA and the o	Authority ("IPEA") except	t that this does not app	be considered to be a written opinion of the by where the applicant chooses an Authority other can under Rule $66.1bis(b)$ that written opinions of
	winte	n repry togetner.	where approp	considered to be a written riate, with amendments, of 22 months from the pri	before the expiration	the applicant is invited to submit to the IPEA a of 3 months from the date of mailing of Form expires later.
		rther options, see				
3.	For fu	other details, see r	notes to Form F	CT/ISA/220.		
Name	nd maili	ng address of the	IS A/ID		LA value de la co	
, vame di	ag matti	ng addiess of the	1.377.75		Authorized officer	
Facsimil	le No.				Telephone No	

International application No.

PCT/JP2005/002315

Box	No. 1	Basis of this opinion
l.	With filed,	regard to the language, this opinion has been established on the basis of the international application in the language in which it was unless otherwise indicated under this item.
		This opinion has been established on the basis of a translation from the original language into the following language  , which is the language of a translation furnished for the purposes of international search (under
	-	Rule 12.3 and 23.1(b)).
2.	With inver	regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed tion, this opinion has been established on the basis of:
	a.	type of material
		a sequence listing
		table(s) related to the sequence listing
	b.	format of material
		in written format
		in computer readable form
	c.	time of filing/furnishing
		contained in the international application as filed.
		filed together with the international application in computer readable form.
		furnished subsequently to this Authority for the purposes of search.
3.		In addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
4.	Addit	ional comments:

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Boy	: No. V	Reasoned stateme citations and expla	nt under Ru anations su	ule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability: pporting such statement	
I.	Statement				
	Novelty	/(N)	Claims	1-44	YES
			Claims		NO
	Inventi	ve step (1S)	Claims		YES
			Claims	1-44	NO
	Industri	ial applicability (IA)	Claims	1-44	YES
			Claims		NO

#### 2. Citations and explanations:

Document 1: WO 2002/097837 A1 (Shinetsu Polymer Co Ltd), 05 December 2002, page 10, lines 23-27, page 16, line 22 to page 17, line 1, page 19, line 19 to page 22, line 15, Fig. 1, Fig. 12 & EP 1398808 A1

Document 2: JP 8-161935 A (Sunstar Engineering Inc), 21 June 1996, claim 5, pgs 0010-0016 & US 5777038 A1

Document 3: JP 2003-507868 A (Durrell Corporation), 25 February 2003, paragraphs 0004, 0023 & WO 2001/015496 A1

Document 4: JP 11-508081 A (Durrell Corporation), 13 July 1999, page 6, lines 3-17, page 11, lines 12-13 & US 5770920 A1

#### Claims 1-4 and 25

Document 1 cited in the ISR (in particular, Fig. 1 and Fig. 12) describes forming an EL sheet provided with an anchor coat layer 17 of, for example, polyurethane in order to achieve adhesion with a transparent electrode 10 which has low adhesion, in addition to a construction constituted by a base electrode 14, a dielectric layer 18b, a light emitting layer 13, the conductive polymer transparent electrode 10, and a transparent insulating film 9, and forming the EL sheet and injecting a key top unit 16 in the recess which occurs, thereby forming the key top portion 3 and achieving the pushbutton switch member. The anchor coat layer is equivalent to the "translucent adhesive layer" here. A person skilled in the art can appropriately set which surface of the transparent electrode which has low adhesion to provide the anchor coat layer to. Accordingly, the inventions of claims 1-4 and 25 do not appear to involve an inventive step.

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Box No. VIII	Certain observations on the international application	
The following of the description.	bservations on the clarity of the claims, description, and drawings or on the question whether the claims are fully stare made:	ipported by
Claims include	29, 31, 33, 35, 37, and 39 include cases in which a translucent adhesive layered, but they are not sufficiently supported by the specification.	is not

International application No.
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Supplemental Box

In case the space in any of the preceding boxes is not sufficient. Continuation of:  $B\,ox\,V$ 

Regarding Claims 5-12, 26, 29-36, and 41-42

Documents 2 and 3 cited in the ISR describes using fluorocarbon resin, acrylic resin, or polyester resin, etc., as the binder used for the insulation layer or light emitting layer equivalent to the dielectric layer. Accordingly, claims 5-12, 26, 29-36, and 41-42 do not appear to involve an inventive step.

Regarding Claims 13-24 and 37-40

Document 2 cited in the ISR describes adding an ion exchanger to the insulation layer which is equivalent to the dielectric layer. Accordingly, claims 13-24 and 37-40 do not appear to involve an inventive step.

Regarding Claims 27-29 and 43-44

Document 4 cited in the ISR describes providing a barrier layer made from fluorocarbon resin between the rear electrode layer and the dielectric insulation layer in which BaTiO2 is dispersed, the barrier layer being equivalent to the second dielectric layer. Accordingly, claims 27-28 and 43-44 do not appear to involve an inventive step.